



PATENT  
Attorney Docket No. P1995B

### COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

This declaration is of the following type:

- original    design    supplemental  
 national stage of PCT  
 divisional    continuation    continuation-in-part

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first, and sole inventor (*if only one name is listed below*) or an original, first, and joint inventor (*if plural names are listed below*) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

#### SYSTEM FOR MANAGING DATA REGARDING DERIVATIVES TRADES

the specification of which:

- is attached hereto.  
 was filed on March 19, 2004 as Application No. 10/804,531 and was amended on *(if applicable)*.  
 was filed by Express Mail No. *as Application No. not known yet*, and was amended on *(if applicable)*.  
 was filed on *as PCT International Application No. PCT/* and was amended on *(if any)*.

I state that I have reviewed and understand the contents of the specification identified above, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to the patentability of the application identified above in accordance with 37 CFR 1.56.

I claim foreign priority benefits under 35 USC 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent, utility model, design registration, or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter and having a filing date before that of the application(s) from which the benefit of priority is claimed.

PRIOR FOREIGN PATENT, UTILITY MODEL, AND DESIGN REGISTRATION APPLICATIONS					
COUNTRY	PRIOR FOREIGN APPLICATION NO.	DATE OF FILING (day,month,year)	PRIORITY CLAIMED		
			YES		NO
			YES		NO
			YES		NO

I claim the benefit pursuant to 35 USC 119(e) of the following United States provisional patent application(s):

PRIOR U.S. PROVISIONAL PATENT APPLICATIONS, BENEFIT CLAIMED UNDER 35 USC 119(e)	
APPLICATION NO.	DATE OF FILING (day,month,year)
60/457,233	25 March 2003

I claim the benefit pursuant to 35 USC 120 of any United States patent application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this patent application is not disclosed in the prior patent application(s) in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose material information as defined in 37 CFR 1.56 effective between the filing date of the prior patent application(s) and the national or PCT international filing date of this patent application.

PRIOR U.S. PATENT APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S., BENEFIT CLAIMED UNDER 35 USC 120					
U.S. PATENT APPLICATIONS			Status ( <i>check one</i> )		
U.S. APPLICATION NO.	U.S. FILING DATE	PATENTED	PENDING	ABANDONED	
1.					
2.					
3.					
PCT APPLICATIONS DESIGNATING THE U.S.			Status ( <i>check one</i> )		
PCT APPLICATION NO.	PCT FILING DATE (day,month,year)	U.S. APPLICATION NOS. ASSIGNED (if any)	PATENTED	PENDING	ABANDONED
4.					
5.					
6.					

DETAILS OF FOREIGN APPLICATIONS FROM WHICH PRIORITY CLAIMED UNDER 35 USC 119 FOR ABOVE LISTED U.S./PCT APPLICATIONS				
ABOVE APPLICATION. NO.	COUNTRY	APPLICATION NO.	DATE OF FILING (day,month,year)	DATE OF ISSUE (day,month,year)
1.				
2.				
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In re Appln. of Baker et al.  
Attorney Docket No. P1995B

As a named inventor, I hereby appoint Gardner Carton & Douglas LLP to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Customer Number  
**08968**

I further direct that correspondence concerning this application be directed to Gardner Carton & Douglas LLP:

Customer Number  
**08968**

I declare that all statements made herein of my own knowledge are true, that all statements made on information and belief are believed to be true, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of **sole or first inventor**: Richard A. BAKER

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Evanston, Illinois  
(city/state or country)

Post Office Address: 1830 Ridge Avenue, Apt. 104, Evanston, Illinois 60201  
(complete mailing address)

Full name of **second joint inventor**, if any: Brian LAIRD

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Carpentersville, Illinois  
(city/state or country)

Post Office Address: 2921 Plantation Drive, Carpentersville, Illinois 60110  
(complete mailing address)

In re Appln. of Baker et al.  
Attorney Docket No. P1995B

Full name of **third joint inventor**, if any: Lance KLEIN

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Naperville, Illinois  
(city/state or country)

Post Office Address: 2153 University Avenue, Naperville, Illinois 60565  
(complete mailing address)

Full name of **fourth joint inventor**, if any: Matt SWEETNAM

Inventor's signature \_\_\_\_\_

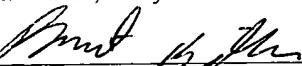
Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Planfield, Illinois  
(city/state or country)

Post Office Address: 734 Bartlett Avenue, Planfield, Illinois 60544  
(complete mailing address)

Full name of **fifth joint inventor**, if any: Brent BILLIWS

Inventor's signature 

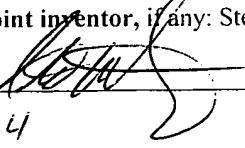
Date 12-15-04

Country of Citizenship: United States of America

Residence: Chicago, Illinois  
(city/state or country)

Post Office Address: 1530 S. State Street, Chicago, Illinois 60605  
(complete mailing address)

Full name of **sixth joint inventor**, if any: Steven KARLOVITZ

Inventor's signature 

Date 12-15-04

Country of Citizenship: United States of America

Residence: Glendale Heights, Illinois  
(city/state or country)

Post Office Address: 2026 Cypress Court, Glendale Heights, Illinois 60139  
(complete mailing address)

In re Appn. of Baker et al.  
Attorney Docket No. P1995B

Full name of **seventh joint inventor**, if any: John COMPALL

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Des Plaines, Illinois  
(city/state or country)

Post Office Address: 1733 Whitcomb Avenue, Des Plaines, Illinois 60018  
(complete mailing address)

Full name of **eighth joint inventor**, if any: Diane SCHUERING

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Chicago, Illinois  
(city/state or country)

Post Office Address: 505 N. Lake Shore Drive, Apt. 3206, Chicago, Illinois 60611  
(complete mailing address)

Full name of **ninth joint inventor**, if any: Marc MACQUARRIE

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Chicago, Illinois  
(city/state or country)

Post Office Address: 5915 N. Washtenaw Avenue, Chicago, Illinois 60659  
(complete mailing address)

CH02/22299309.1



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U.S. PATENT APPLICATIONS			Status (check one)		
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Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Evanston, Illinois  
(city/state or country)

Post Office Address: 1830 Ridge Avenue, Apt. 104, Evanston, Illinois 60201  
(complete mailing address)

Full name of second joint inventor, if any: Brian LAIRD

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Carpentersville, Illinois  
(city/state or country)

Post Office Address: 2921 Plantation Drive, Carpentersville, Illinois 60110  
(complete mailing address)

In re Appln. of Baker et al.  
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Full name of **third joint inventor**, if any: Lance KLEIN

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Naperville, Illinois  
(city/state or country)

Post Office Address: 2153 University Avenue, Naperville, Illinois 60565  
(complete mailing address)

Full name of **fourth joint inventor**, if any: Matt SWEETNAM

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Planfield, Illinois  
(city/state or country)

Post Office Address: 734 Bartlett Avenue, Planfield, Illinois 60544  
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Full name of **fifth joint inventor**, if any: Brent BILLIWS

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

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Residence: Chicago, Illinois  
(city/state or country)

Post Office Address: 1530 S. State Street, Chicago, Illinois 60605  
(complete mailing address)

Full name of **sixth joint inventor**, if any: Steven KARLOVITZ

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

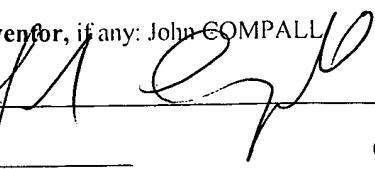
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Inventor's signature 

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Post Office Address: 1733 Whitcomb Avenue, Des Plaines, Illinois 60018  
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Full name of **eighth joint inventor**, if any: Diane SCHUERING

Inventor's signature \_\_\_\_\_

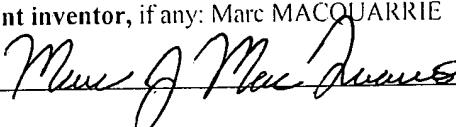
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Residence: Chicago, Illinois  
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(complete mailing address)

Full name of **ninth joint inventor**, if any: Marc MACQUARRIE

Inventor's signature 

Date 12/10/04

Country of Citizenship: United States of America

Residence: Chicago, Illinois  
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				YES	NO
				YES	NO

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U.S. PATENT APPLICATIONS			Status (check one)		
U.S. APPLICATION NO.	U.S. FILING DATE		PATENTED	PENDING	ABANDONED
1.					
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DETAILS OF FOREIGN APPLICATIONS FROM WHICH PRIORITY CLAIMED UNDER 35 USC 119 FOR ABOVE LISTED U.S./PCT APPLICATIONS				
ABOVE APPLICATION. NO.	COUNTRY	APPLICATION NO.	DATE OF FILING (day,month,year)	DATE OF ISSUE (day,month,year)
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Full name of sole or first inventor: Richard A. BAKER

Inventor's signature Richard A. Baker

Date December 10, 2004

Country of Citizenship: United States of America

Residence: Evanston, Illinois  
(city/state or country)

Post Office Address: 1830 Ridge Avenue, Apt. 104, Evanston, Illinois 60201  
(complete mailing address)

Full name of second joint inventor, if any: Brian LAIRD

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Carpentersville, Illinois  
(city/state or country)

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Full name of **third joint inventor**, if any: Lance KLEIN

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Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Naperville, Illinois  
(city/state or country)

Post Office Address: 2153 University Avenue, Naperville, Illinois 60565  
(complete mailing address)

Full name of **fourth joint inventor**, if any: Matt SWEETNAM

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Planfield, Illinois  
(city/state or country)

Post Office Address: 734 Bartlett Avenue, Planfield, Illinois 60544  
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Full name of **fifth joint inventor**, if any: Brent BILLIWS

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Date \_\_\_\_\_

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Residence: Chicago, Illinois  
(city/state or country)

Post Office Address: 1530 S. State Street, Chicago, Illinois 60605  
(complete mailing address)

Full name of **sixth joint inventor**, if any: Steven KARLOVITZ

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

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Country of Citizenship: United States of America

Residence: Des Plaines, Illinois  
(city/state or country)

Post Office Address: 1733 Whitcomb Avenue, Des Plaines, Illinois 60018  
(complete mailing address)

Full name of **eighth joint inventor**, if any: Diane SCHUERING

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Chicago, Illinois  
(city/state or country)

Post Office Address: 505 N. Lake Shore Drive, Apt. 3206, Chicago, Illinois 60611  
(complete mailing address)

Full name of **ninth joint inventor**, if any: Marc MACQUARIE

Inventor's signature \_\_\_\_\_

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**08968**

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Customer Number  
**08968**

I declare that all statements made herein of my own knowledge are true, that all statements made on information and belief are believed to be true, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Richard A. BAKER

Inventor's signature \_\_\_\_\_

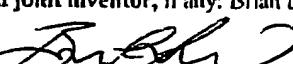
Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Evanston, Illinois  
(city/state or country)

Post Office Address: 1830 Ridge Avenue, Apt. 104, Evanston, Illinois 60201  
(complete mailing address)

Full name of second joint inventor, if any: Brian LAIRD

Inventor's signature 

Date 10/13/2009

Country of Citizenship: United States of America

Residence: Carpentersville, Illinois  
(city/state or country)

Post Office Address: 2921 Plantation Drive, Carpentersville, Illinois 60110  
(complete mailing address)

In re Appn. of Baker et al.  
Attorney Docket No. P1995B

Full name of **third joint inventor**, if any: Lance KLEIN

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Naperville, Illinois  
(city/state or country)

Post Office Address: 2153 University Avenue, Naperville, Illinois 60565  
(complete mailing address)

Full name of **fourth joint inventor**, if any: Matt SWEETNAM

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Planfield, Illinois  
(city/state or country)

Post Office Address: 734 Bartlett Avenue, Planfield, Illinois 60544  
(complete mailing address)

Full name of **fifth joint inventor**, if any: Brent BILLIWS

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Chicago, Illinois  
(city/state or country)

Post Office Address: 1530 S. State Street, Chicago, Illinois 60605  
(complete mailing address)

Full name of **sixth joint inventor**, if any: Steven KARLOVITZ

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Glendale Heights, Illinois  
(city/state or country)

Post Office Address: 2026 Cypress Court, Glendale Heights, Illinois 60139  
(complete mailing address)

In re Appln. of Baker et al.  
Attorney Docket No. P1995B

Full name of **seventh joint inventor**, if any: John COMPALL

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Des Plaines, Illinois  
(city/state or country)

Post Office Address: 1733 Whitcomb Avenue, Des Plaines, Illinois 60018  
(complete mailing address)

Full name of **eighth joint inventor**, if any: Diane SCHUERING

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Chicago, Illinois  
(city/state or country)

Post Office Address: 505 N. Lake Shore Drive, Apt. 3206, Chicago, Illinois 60611  
(complete mailing address)

Full name of **ninth joint inventor**, if any: Marc MACQUARIE

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Chicago, Illinois  
(city/state or country)

Post Office Address: 5915 N. Washtenaw Avenue, Chicago, Illinois 60659  
(complete mailing address)

CH02/22299309.1



PATENT  
Attorney Docket No. P1995B

## COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

This declaration is of the following type:

- original    design    supplemental  
 national stage of PCT  
 divisional    continuation    continuation-in-part

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first, and sole inventor (*if only one name is listed below*) or an original, first, and joint inventor (*if plural names are listed below*) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### SYSTEM FOR MANAGING DATA REGARDING DERIVATIVES TRADES

the specification of which:

- is attached hereto.  
 was filed on March 19, 2004 as Application No. 10/804,531 and was amended on *(if applicable)*.  
 was filed by Express Mail No. *as Application No. not known yet*, and was amended on *(if applicable)*.  
 was filed on *as PCT International Application No. PCT/* and was amended on *(if any)*.

I state that I have reviewed and understand the contents of the specification identified above, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to the patentability of the application identified above in accordance with 37 CFR 1.56.

I claim foreign priority benefits under 35 USC 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent, utility model, design registration, or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter and having a filing date before that of the application(s) from which the benefit of priority is claimed.

PRIOR FOREIGN PATENT, UTILITY MODEL, AND DESIGN REGISTRATION APPLICATIONS					
COUNTRY	PRIOR FOREIGN APPLICATION NO.	DATE OF FILING (day,month,year)	PRIORITY CLAIMED		
			YES		NO
			YES		NO
			YES		NO

In re Appln. of Baker et al.  
Attorney Docket No. P1995B

I claim the benefit pursuant to 35 USC 119(e) of the following United States provisional patent application(s):

PRIOR U.S. PROVISIONAL PATENT APPLICATIONS, BENEFIT CLAIMED UNDER 35 USC 119(e)	
APPLICATION NO.	DATE OF FILING (day,month,year)
60/457,233	25 March 2003

I claim the benefit pursuant to 35 USC 120 of any United States patent application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this patent application is not disclosed in the prior patent application(s) in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose material information as defined in 37 CFR 1.56 effective between the filing date of the prior patent application(s) and the national or PCT international filing date of this patent application.

PRIOR U.S. PATENT APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S., BENEFIT CLAIMED UNDER 35 USC 120					
U.S. PATENT APPLICATIONS			Status (check one)		
U.S. APPLICATION NO.	U.S. FILING DATE		PATENTED	PENDING	ABANDONED
1.					
2.					
3.					
PCT APPLICATIONS DESIGNATING THE U.S.			Status (check one)		
PCT APPLICATION NO.	PCT FILING DATE (day,month,year)	U.S. APPLICATION NOS. ASSIGNED (if any)	PATENTED	PENDING	ABANDONED
4.					
5.					
6.					

DETAILS OF FOREIGN APPLICATIONS FROM WHICH PRIORITY CLAIMED UNDER 35 USC 119 FOR ABOVE LISTED U.S./PCT APPLICATIONS				
ABOVE APPLICATION. NO.	COUNTRY	APPLICATION NO.	DATE OF FILING (day,month,year)	DATE OF ISSUE (day,month,year)
1.				
2.				
3.				
4.				
5.				
6.				

In re Appln. of Baker et al.  
Attorney Docket No. P1995B

As a named inventor, I hereby appoint Gardner Carton & Douglas LLP to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Customer Number  
**08968**

I further direct that correspondence concerning this application be directed to Gardner Carton & Douglas LLP:

Customer Number  
**08968**

I declare that all statements made herein of my own knowledge are true, that all statements made on information and belief are believed to be true, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of **sole or first inventor:** Richard A. BAKER

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Evanston, Illinois  
(city/state or country)

Post Office Address: 1830 Ridge Avenue, Apt. 104, Evanston, Illinois 60201  
(complete mailing address)

Full name of **second joint inventor**, if any: Brian LAIRD

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Carpentersville, Illinois  
(city/state or country)

Post Office Address: 2921 Plantation Drive, Carpentersville, Illinois 60110  
(complete mailing address)

Full name of **third joint inventor**, if any: Lance KLEIN

Inventor's signature 

Date 17/10/04

Country of Citizenship: United States of America

Residence: Naperville, Illinois  
(city/state or country)

Post Office Address: 2153 University Avenue, Naperville, Illinois 60565  
(complete mailing address)

Full name of **fourth joint inventor**, if any: Matt SWEETNAM

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Planfield, Illinois  
(city/state or country)

Post Office Address: 734 Bartlett Avenue, Planfield, Illinois 60544  
(complete mailing address)

Full name of **fifth joint inventor**, if any: Brent BILLIWS

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Chicago, Illinois  
(city/state or country)

Post Office Address: 1530 S. State Street, Chicago, Illinois 60605  
(complete mailing address)

Full name of **sixth joint inventor**, if any: Steven KARLOVITZ

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Glendale Heights, Illinois  
(city/state or country)

Post Office Address: 2026 Cypress Court, Glendale Heights, Illinois 60139  
(complete mailing address)

In re Appln. of Baker et al.  
Attorney Docket No. P1995B

Full name of **seventh joint inventor**, if any: John COMPALL

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Des Plaines, Illinois  
(city/state or country)

Post Office Address: 1733 Whitcomb Avenue, Des Plaines, Illinois 60018  
(complete mailing address)

Full name of **eighth joint inventor**, if any: Diane SCHUERING

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Chicago, Illinois  
(city/state or country)

Post Office Address: 505 N. Lake Shore Drive, Apt. 3206, Chicago, Illinois 60611  
(complete mailing address)

Full name of **ninth joint inventor**, if any: Marc MACQUARIE

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Chicago, Illinois  
(city/state or country)

Post Office Address: 5915 N. Washtenaw Avenue, Chicago, Illinois 60659  
(complete mailing address)

CH02/22299309.1



PATENT  
Attorney Docket No. P1995B

### COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

This declaration is of the following type:

- original    design    supplemental  
 national stage of PCT  
 divisional    continuation    continuation-in-part

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first, and sole inventor (*if only one name is listed below*) or an original, first, and joint inventor (*if plural names are listed below*) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### SYSTEM FOR MANAGING DATA REGARDING DERIVATIVES TRADES

the specification of which:

- is attached hereto.  
 was filed on March 19, 2004 as Application No. 10/804,531 and was amended on *(if applicable)*.  
 was filed by Express Mail No. *as Application No. not known yet*, and was amended on *(if applicable)*.  
 was filed on *as PCT International Application No. PCT/* and was amended on *(if any)*.

I state that I have reviewed and understand the contents of the specification identified above, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to the patentability of the application identified above in accordance with 37 CFR 1.56.

I claim foreign priority benefits under 35 USC 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent, utility model, design registration, or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter and having a filing date before that of the application(s) from which the benefit of priority is claimed.

PRIOR FOREIGN PATENT, UTILITY MODEL, AND DESIGN REGISTRATION APPLICATIONS					
COUNTRY	PRIOR FOREIGN APPLICATION NO.	DATE OF FILING (day,month,year)	PRIORITY CLAIMED		
			YES		NO
			YES		NO
			YES		NO

In re Appn. of Baker et al.  
Attorney Docket No. P1995B

I claim the benefit pursuant to 35 USC 119(e) of the following United States provisional patent application(s):

PRIOR U.S. PROVISIONAL PATENT APPLICATIONS, BENEFIT CLAIMED UNDER 35 USC 119(e)	
APPLICATION NO.	DATE OF FILING (day,month,year)
60/457,233	25 March 2003

I claim the benefit pursuant to 35 USC 120 of any United States patent application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this patent application is not disclosed in the prior patent application(s) in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose material information as defined in 37 CFR 1.56 effective between the filing date of the prior patent application(s) and the national or PCT international filing date of this patent application.

PRIOR U.S. PATENT APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S., BENEFIT CLAIMED UNDER 35 USC 120					
U.S. PATENT APPLICATIONS			Status (check one)		
U.S. APPLICATION NO.	U.S. FILING DATE		PATENTED	PENDING	ABANDONED
1.					
2.					
3.					
PCT APPLICATIONS DESIGNATING THE U.S.			Status (check one)		
PCT APPLICATION NO.	PCT FILING DATE (day,month,year)	U.S. APPLICATION NOS. ASSIGNED (if any)	PATENTED	PENDING	ABANDONED
4.					
5.					
6.					

DETAILS OF FOREIGN APPLICATIONS FROM WHICH PRIORITY CLAIMED UNDER 35 USC 119 FOR ABOVE LISTED U.S./PCT APPLICATIONS				
ABOVE APPLICATION. NO.	COUNTRY	APPLICATION NO.	DATE OF FILING (day,month,year)	DATE OF ISSUE (day,month,year)
1.				
2.				
3.				
4.				
5.				
6.				

In re Appln. of Baker et al.  
Attorney Docket No. P1995B

As a named inventor, I hereby appoint Gardner Carton & Douglas LLP to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Customer Number  
**08968**

I further direct that correspondence concerning this application be directed to Gardner Carton & Douglas LLP:

Customer Number  
**08968**

I declare that all statements made herein of my own knowledge are true, that all statements made on information and belief are believed to be true, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Richard A. BAKER

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Evanston, Illinois  
(city/state or country)

Post Office Address: 1830 Ridge Avenue, Apt. 104, Evanston, Illinois 60201  
(complete mailing address)

Full name of second joint inventor, if any: Brian LAIRD

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Carpentersville, Illinois  
(city/state or country)

Post Office Address: 2921 Plantation Drive, Carpentersville, Illinois 60110  
(complete mailing address)

In re Appln. of Baker et al.  
Attorney Docket No. P1995B

Full name of third joint inventor, if any: Lance KLEIN

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Naperville, Illinois  
(city/state or country)

Post Office Address: 2153 University Avenue, Naperville, Illinois 60563  
(complete mailing address)

Full name of fourth joint inventor, if any: Matt SWEETNAM

Inventor's signature \_\_\_\_\_

Date 12-17-04

Country of Citizenship: United States of America

Residence: Planfield, Illinois  
(city/state or country)

Post Office Address: 734 Bartlett Avenue, Planfield, Illinois 60544  
(complete mailing address)

Full name of fifth joint inventor, if any: Brent BILLOWS

Inventor's signature \_\_\_\_\_

Date 12-15-04

Country of Citizenship: United States of America

Residence: Chicago, Illinois  
(city/state or country)

Post Office Address: 1530 S. State Street, Chicago, Illinois 60605  
(complete mailing address)

Full name of sixth joint inventor, if any: Steven KARLOVITZ

Inventor's signature \_\_\_\_\_

Date 12-15-04

Country of Citizenship: United States of America

Residence: Glendale Heights, Illinois  
(city/state or country)

Post Office Address: 2026 Cypress Court, Glendale Heights, Illinois 60139  
(complete mailing address)

In re Appln. of Baker et al.  
Attorney Docket No. P1995B

Full name of **seventh joint inventor**, if any: John COMPALL

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Des Plaines, Illinois  
(city/state or country)

Post Office Address: 1733 Whitcomb Avenue, Des Plaines, Illinois 60018  
(complete mailing address)

Full name of **eighth joint inventor**, if any: Diane SCHUERING

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Chicago, Illinois  
(city/state or country)

Post Office Address: 505 N. Lake Shore Drive, Apt. 3206, Chicago, Illinois 60611  
(complete mailing address)

Full name of **ninth joint inventor**, if any: Marc MACQUARIE

Inventor's signature \_\_\_\_\_

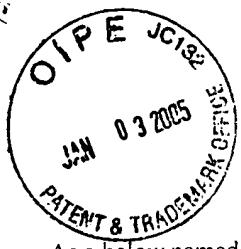
Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Chicago, Illinois  
(city/state or country)

Post Office Address: 5915 N. Washtenaw Avenue, Chicago, Illinois 60659  
(complete mailing address)

CH02/22299309.1



COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

This declaration is of the following type:

- original    design    supplemental  
 national stage of PCT  
 divisional    continuation    continuation-in-part

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first, and sole inventor (*if only one name is listed below*) or an original, first, and joint inventor (*if plural names are listed below*) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SYSTEM FOR MANAGING DATA REGARDING DERIVATIVES TRADES

the specification of which:

- is attached hereto.  
 was filed on March 19, 2004 as Application No. 10/804,531 and was amended on *(if applicable)*.  
 was filed by Express Mail No. *as Application No. not known yet*, and was amended on *(if applicable)*.  
 was filed on *as PCT International Application No. PCT/* and was amended on *(if any)*.

I state that I have reviewed and understand the contents of the specification identified above, including the claim(s), as amended by any amendment referred to above.

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PRIOR FOREIGN PATENT, UTILITY MODEL, AND DESIGN REGISTRATION APPLICATIONS					
COUNTRY	PRIOR FOREIGN APPLICATION NO.	DATE OF FILING (day,month,year)	PRIORITY CLAIMED		
			YES		NO
			YES		NO
			YES		NO

I claim the benefit pursuant to 35 USC 119(e) of the following United States provisional patent application(s):

PRIOR U.S. PROVISIONAL PATENT APPLICATIONS, BENEFIT CLAIMED UNDER 35 USC 119(e)	
APPLICATION NO.	DATE OF FILING (day,month,year)
60/457,233	25 March 2003

I claim the benefit pursuant to 35 USC 120 of any United States patent application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this patent application is not disclosed in the prior patent application(s) in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose material information as defined in 37 CFR 1.56 effective between the filing date of the prior patent application(s) and the national or PCT international filing date of this patent application.

PRIOR U.S. PATENT APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S., BENEFIT CLAIMED UNDER 35 USC 120				
U.S. PATENT APPLICATIONS		Status (check one)		
U.S. APPLICATION NO.	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1.				
2.				
3.				
PCT APPLICATIONS DESIGNATING THE U.S.			Status (check one)	
PCT APPLICATION NO.	PCT FILING DATE (day,month,year)	U.S. APPLICATION NOS. ASSIGNED (if any)	PATENTED	PENDING
4.				
5.				
6.				

DETAILS OF FOREIGN APPLICATIONS FROM WHICH PRIORITY CLAIMED UNDER 35 USC 119 FOR ABOVE LISTED U.S./PCT APPLICATIONS				
ABOVE APPLICATION NO.	COUNTRY	APPLICATION NO.	DATE OF FILING (day,month,year)	DATE OF ISSUE (day,month,year)
1.				
2.				
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In re Appln. of Baker et al.  
Attorney Docket No. P1995B

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**Full name of sole or first inventor:** Richard A. BAKER

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Evanston, Illinois  
(city/state or country)

Post Office Address: 1830 Ridge Avenue, Apt. 104, Evanston, Illinois 60201  
(complete mailing address)

**Full name of second joint inventor, if any:** Brian LAIRD

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Carpentersville, Illinois  
(city/state or country)

Post Office Address: 2921 Plantation Drive, Carpentersville, Illinois 60110  
(complete mailing address)

In re Appln. of Baker et al.  
Attorney Docket No. P1995B

Full name of **third joint inventor**, if any: Lance KLEIN

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Naperville, Illinois  
(city/state or country)

Post Office Address: 2153 University Avenue, Naperville, Illinois 60565  
(complete mailing address)

Full name of **fourth joint inventor**, if any: Matt SWEETNAM

Inventor's signature \_\_\_\_\_

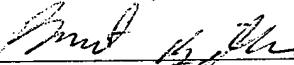
Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Planfield, Illinois  
(city/state or country)

Post Office Address: 734 Bartlett Avenue, Planfield, Illinois 60544  
(complete mailing address)

Full name of **fifth joint inventor**, if any: Brent BILLIWS

Inventor's signature 

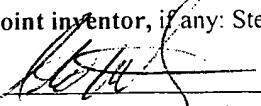
Date 12-15-09

Country of Citizenship: United States of America

Residence: Chicago, Illinois  
(city/state or country)

Post Office Address: 1530 S. State Street, Chicago, Illinois 60605  
(complete mailing address)

Full name of **sixth joint inventor**, if any: Steven KARLOVITZ

Inventor's signature 

Date 12-15-09

Country of Citizenship: United States of America

Residence: Glendale Heights, Illinois  
(city/state or country)

Post Office Address: 2026 Cypress Court, Glendale Heights, Illinois 60139  
(complete mailing address)

In re Appln. of Baker et al.  
Attorney Docket No. P1995B

Full name of **seventh joint inventor**, if any: John COMPALL

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Des Plaines, Illinois  
(city/state or country)

Post Office Address: 1733 Whitcomb Avenue, Des Plaines, Illinois 60018  
(complete mailing address)

Full name of **eighth joint inventor**, if any: Diane SCHUERING

Inventor's signature Diane Schuering

Date 12/30/84

Country of Citizenship: United States of America

Residence: Chicago, Illinois  
(city/state or country)

Post Office Address: 505 N. Lake Shore Drive, Apt. 3206, Chicago, Illinois 60611  
(complete mailing address)

Full name of **ninth joint inventor**, if any: Marc MACQUARRIE

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

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